

# HEAT TREATMENT

# Heat Treatment

- process of *controlled heating and cooling of metals*
- *Alter their physical and mechanical properties*
- *without changing the product shape*
- sometimes takes place inadvertently due to **manufacturing processes** that either heat or cool the metal such as **welding** or **forming**.

# Heat Treatment

## **DEFINATION:**

**A combination of heating & cooling operation timed & applied to a metal or alloy in the solid state in a way that will produce desired properties.- Metal Hand Book (ASM)**

# Heat Treatment

- Often associated with *increasing the strength of material*
- Can also be used to *obtain certain manufacturing objectives* like
  - To improve **machining & formability**,
  - To restore **ductility**
  - To recover grain size etc.
  - Known as Process Heat Treatment

# Heat Treatment

- **Heat treatment done for one of the following objective:**
  - **Hardening.**
  - **Softening.**
  - **Property modification.**

# Heat Treatment

- **Hardening heat treatments particularly suitable for Steels**
  - Many phase transformation involved even in plain carbon steel and low-alloy steel.
- **Other type of heat treatments equally applicable to ferrous & non-ferrous**

# Hardening Heat Treatment

- **Hardening of steels** is done *to increase the strength and wear properties*.
- **Hardening (Quenching followed by Tempering)** is intended for improving the mechanical properties of steel.
- **Generally increases hardness at the cost of toughness**

# Hardening Heat Treatment

- Pre-requisites for hardening is *sufficient carbon and/or alloy content*.
  - Sufficient Carbon - Direct hardening/Case hardening.
  - Otherwise- Case hardening



# Hardening Heat Treatment

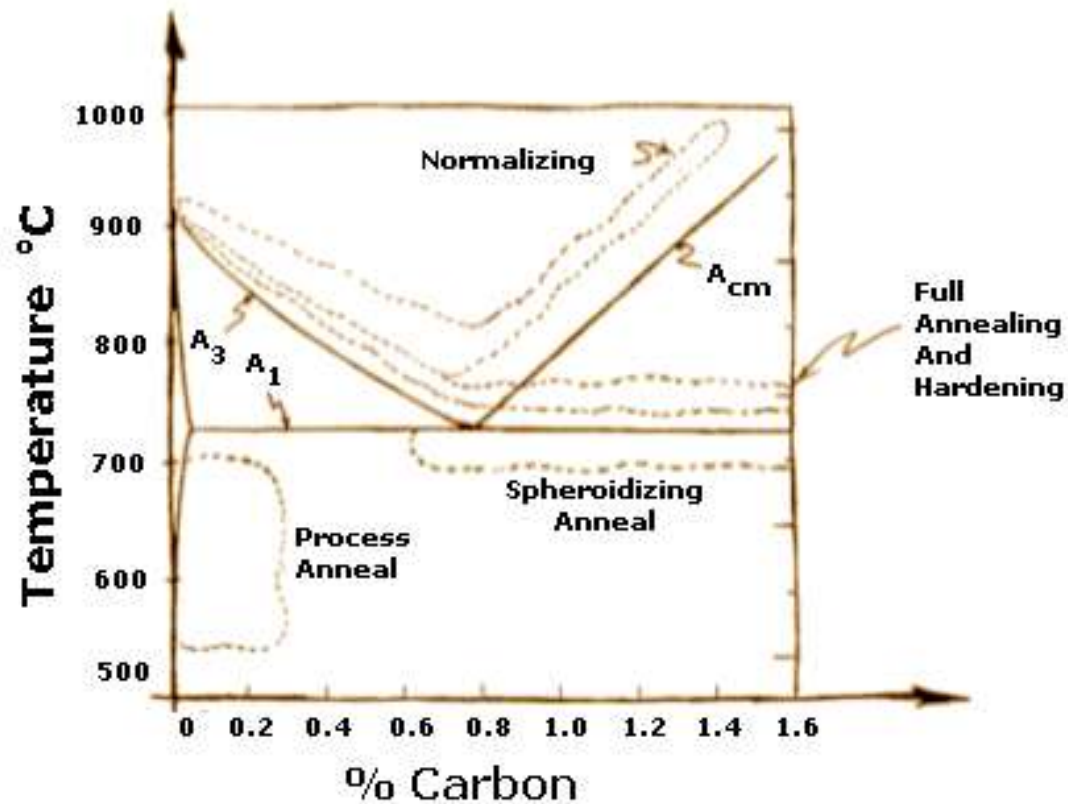
## Common Hardening Heat Treatments:

- Direct Hardening
  - Heating    – Quenching    – Tempering
- Austempering
- Martempering
- Case Hardening
  - Case carburizing

# Hardening Heat Treatment

- Case Hardening (Contd..)
  - Case Nitriding
  - Case Carbo-nitriding or Cyaniding
  - Flame hardening
  - Induction hardening etc
- Precipitation Hardening

# Heat Treatment Temperatures



HEAT TREATMENT PROCESS

# Hardening Heat Treatment

An act of

- Heating to austenizing range, 30 – 50<sup>0</sup>C above  $Ac_3$  (Hypoeutectoid) or  $Ac_1$  (Hypereutectoid)
- Holding sufficiently long time for full transformation (1hr/per inch of maxm. Thickness)
- Dipping in Quench Medium

# Hardening Heat Treatment

## Result

- Avoidance of normal Ferritic-Pearlitic transformation
- Formation of a hard & brittle structure known as Martensite.

# Mechanism of Quenching

- **Austenite to Ferrite transformation** takes place by a time dependant process of **Nucleation & Growth**
- Under slow or moderate cooling rates, the carbon atoms diffuse out of the **austenite structure (FCC) forming ferrite (BCC) & cementite (Orthorhombic)**
- With increase in cooling rate, time allowed is insufficient

# Mechanism of Quenching

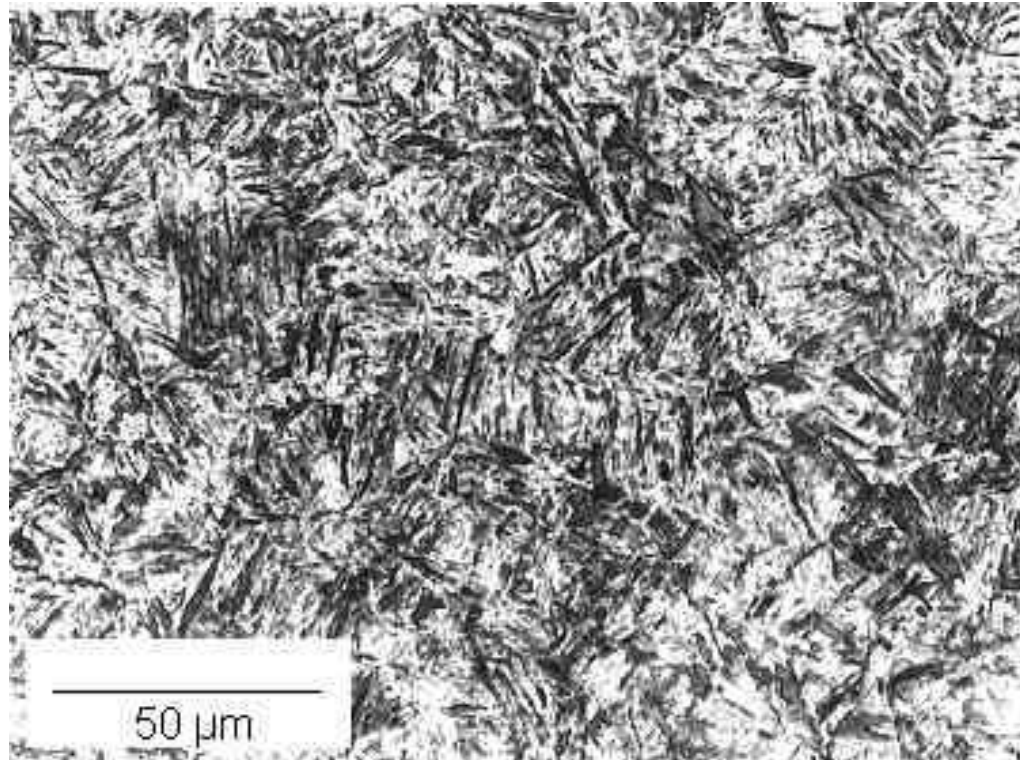
**Although some movement of carbon atoms take place**

- **The structure can not be BCC**
- **The carbon is trapped in solution**
- **The resultant structure, **Martensite** is a supersaturated solution of carbon trapped in a **body centered tetragonal structure (BCT)**.**

# Quenching Contd..

- **Quenched steel (Martensite)**
- **Highly stressed condition**
- **Too brittle for any practical purpose.**
- **Quenching is always followed by tempering to**
  - **Reduce the brittleness.**
  - **Relieve the internal stresses caused by hardening.**





Martensite

# Tempering

- Tempering means subsequent heating
  - to a specific intermediate temperature
  - and holding for specific time
- Tempering leads to the **decomposition of martensite** into **ferrite-cementite mixture**
  - Strongly affects all properties of steel.
- At low tempering temperature (up to  $200^{\circ}\text{C}$  or  $250^{\circ}\text{C}$ ),
  - Hardness changes only to a small extent
  - True tensile strength increases
  - Bending strength increases

# Tempering

- This may be explained by
- separation of carbon atom from the **martensite** lattice
- corresponding reduction in its **stressed state and accicularity**

# Tempering

- Higher tempering temperature reduces
  - Hardness
  - True tensile strength
  - Yield point
  - While relative elongation and reduction area increases.
- This is due to formation of ferrite and cementite mixture.
- .

# Tempering

- At still higher temperature or holding time
  - Spherodisation of cementite
  - Coarsening of ferrite grains
- Leads to fall in hardness as well as toughness

# Hardening Heat Treatment

## Some features of Hardening Heat Treatment

- Retained ferrite detrimental to uniform properties – so heating beyond  $Ac_3$  for Hypoeutectoid steel
- Retained Cementite is beneficial as it is more hard & wear resistant than martensite – so heating beyond  $Ac_1$ , not  $AC_M$ , for Hypereutectoid steel

# Hardening Heat Treatment

## Some features of Hardening Heat Treatment (Contd...)

- Addition of C shifts TTT curve to right and increases hardness of martensite
- Addition of Alloy elements shifts TTT curve to right and changes the shape
- Higher the Alloy% - Higher the stability of M
- Higher the degree of super cooling – Higher the amount of retained Austenite.

# Temper Embrittleness

- A sharp fall in Impact strength when tempered at **250°C to 400°C** for extended hours
- All steels, in varying degree, suffer from this
- Carbon steels display slight loss of toughness.
- For alloy steel reduction by 50% to 60%
- The reason associated with
  - **Dre**precipitation of alloy carbides
  - Decomposition of **retained austenite**.
- Temperature range is avoided.



# Quenching Media

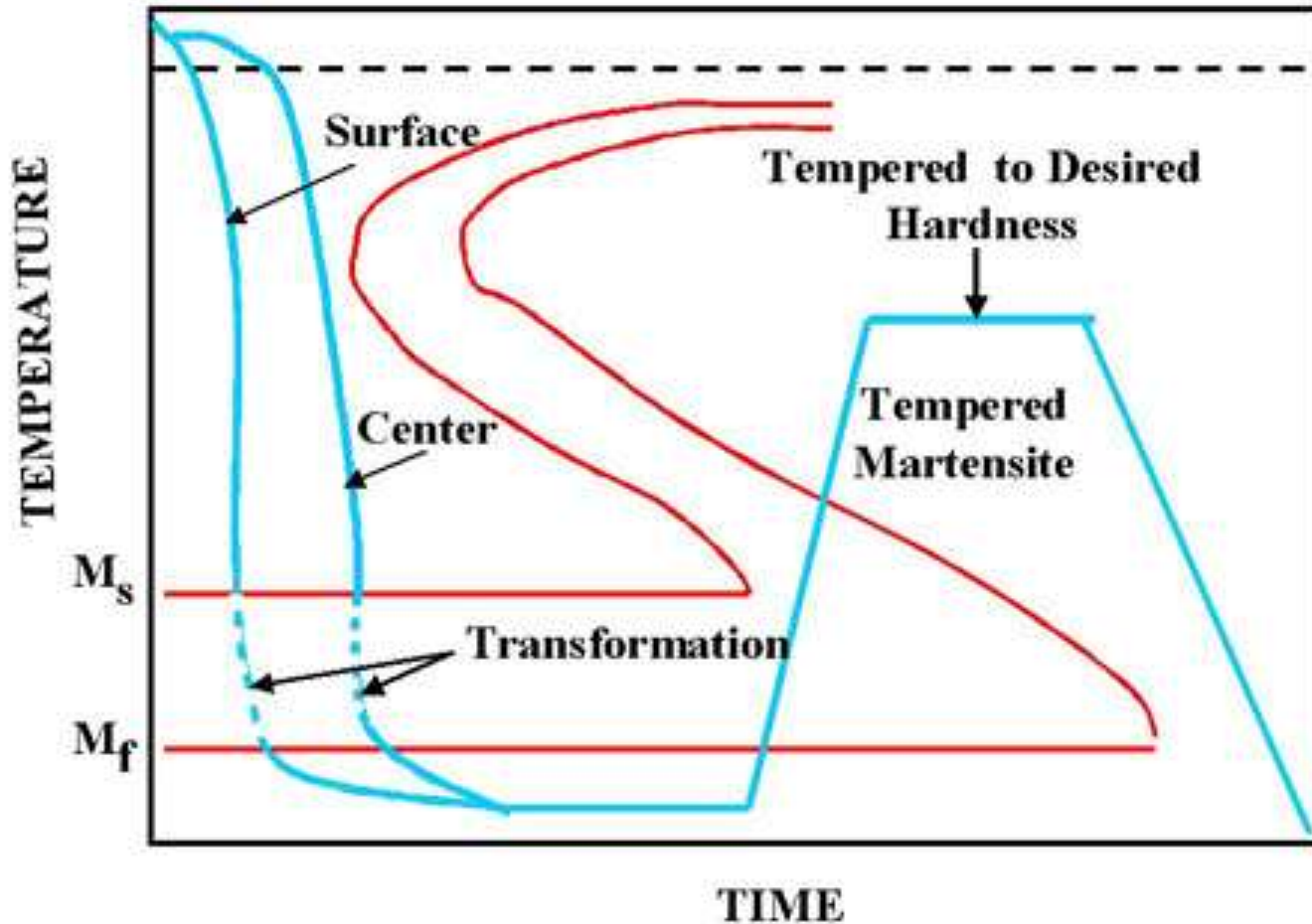
- **Quenching media with increased degree of severity of quenching**
  - **Normal Cooling**
  - **Forced Air or draft cooling**
  - **Oil**
  - **Polymer**
  - **Water and**
  - **Brine**

# Quenching Media

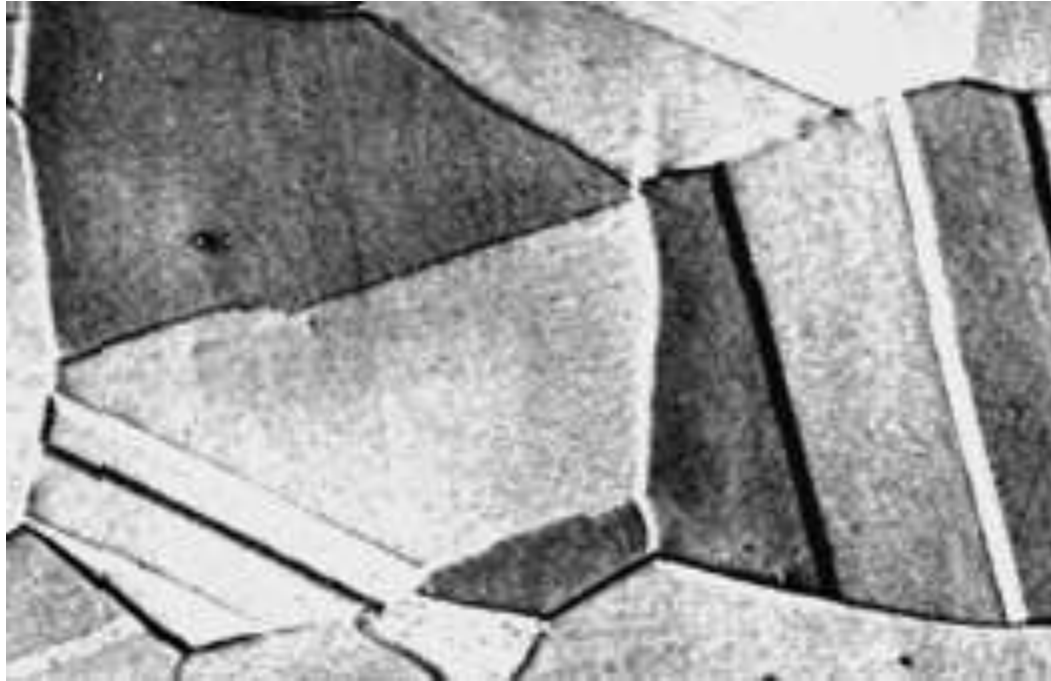
- **quenching medium depends on**
  - **Material composition**
  - **Weight of job**
- **Aim is to have a cooling rate just by-passing the nose of TTT curve for**
  - **minimum stress**
  - **minimum warping/crack during quenching.**
- **Cooling rate varies from surface to core: slower cooling towards centre.**

## Tempering contd..

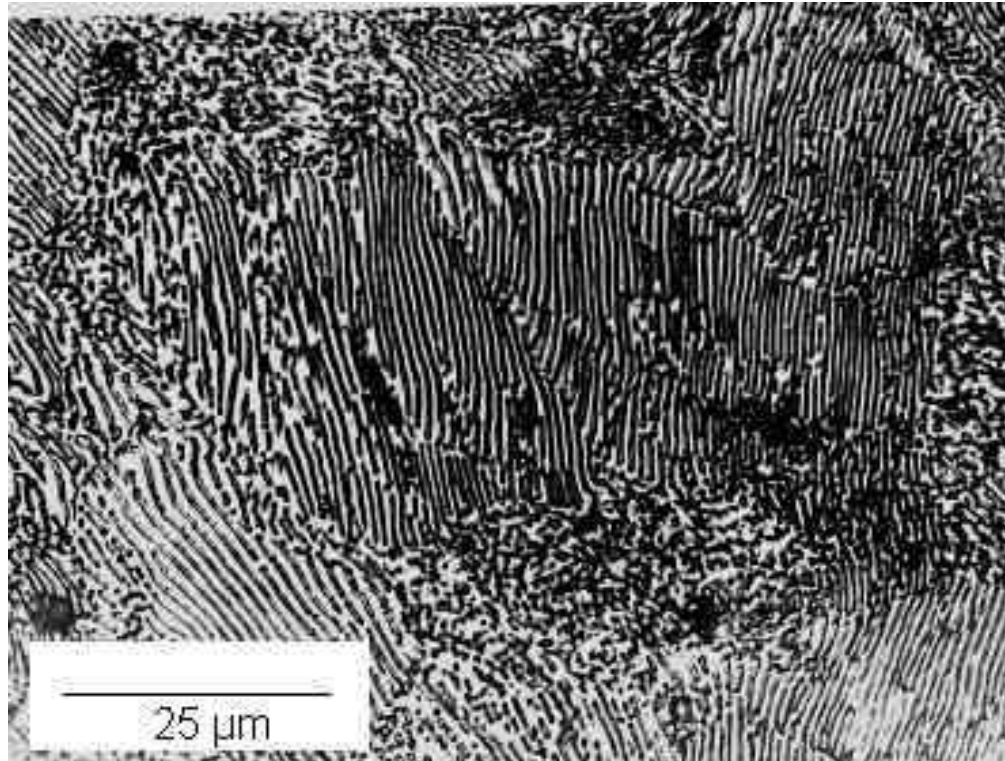
### CONVENTIONAL QUENCHING AND TEMPERING



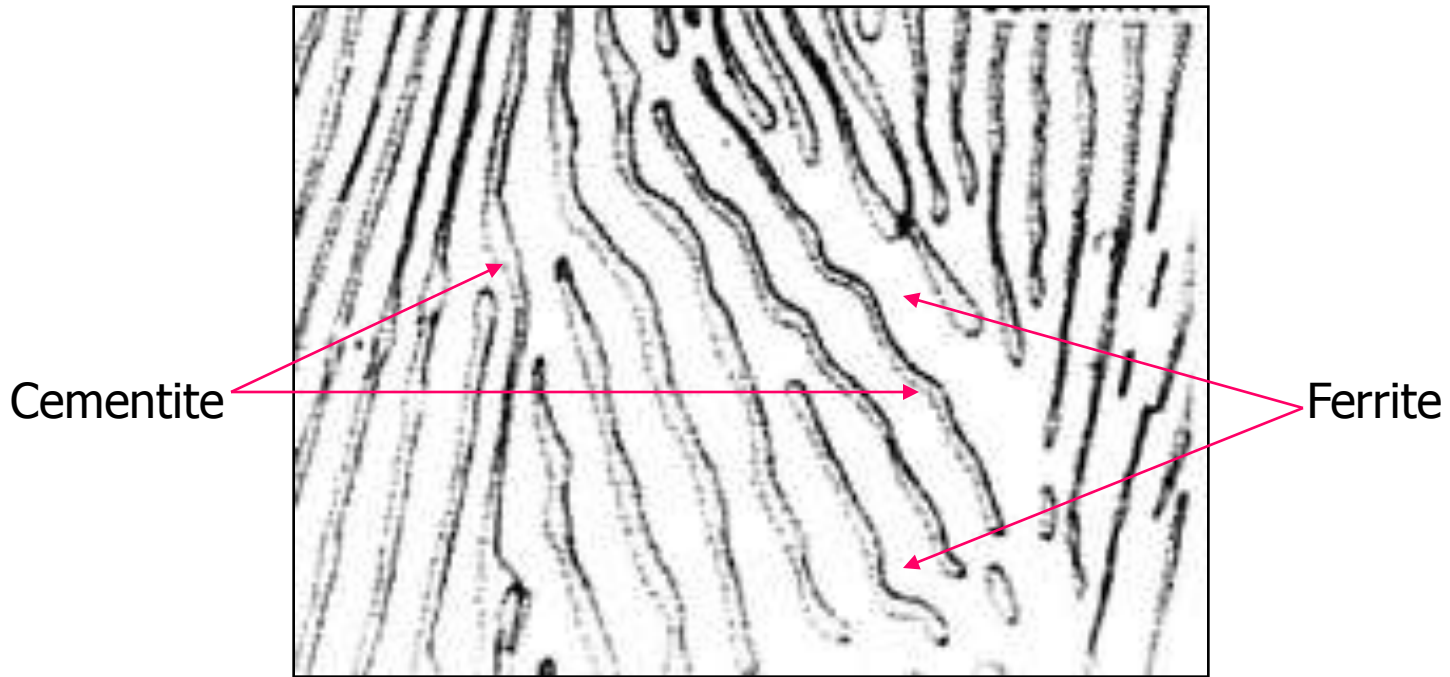
**Figure 1.** Conventional quenching and tempering process



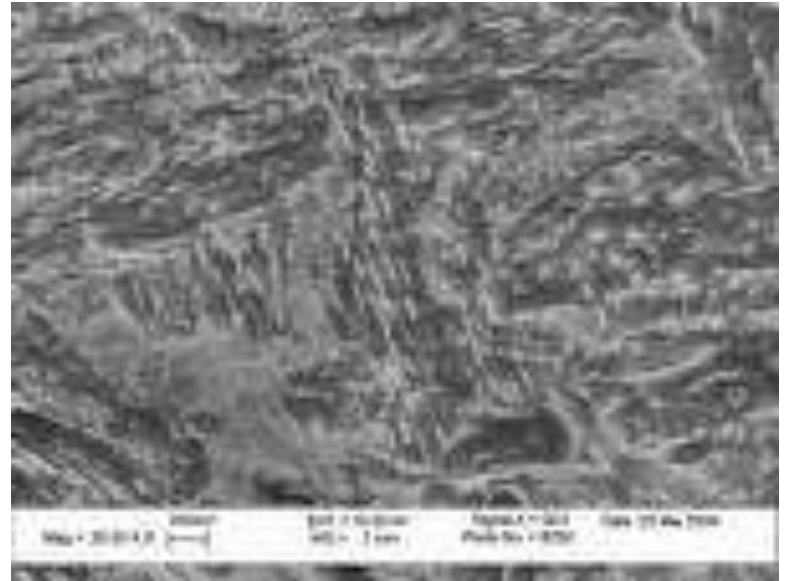
Equiaxed Austenite Grain



Pearlite



Pearlite at high Magnification  
(Lamellar arrangement of Cementite & Ferrite)



Tempered Martensite

# Austempering

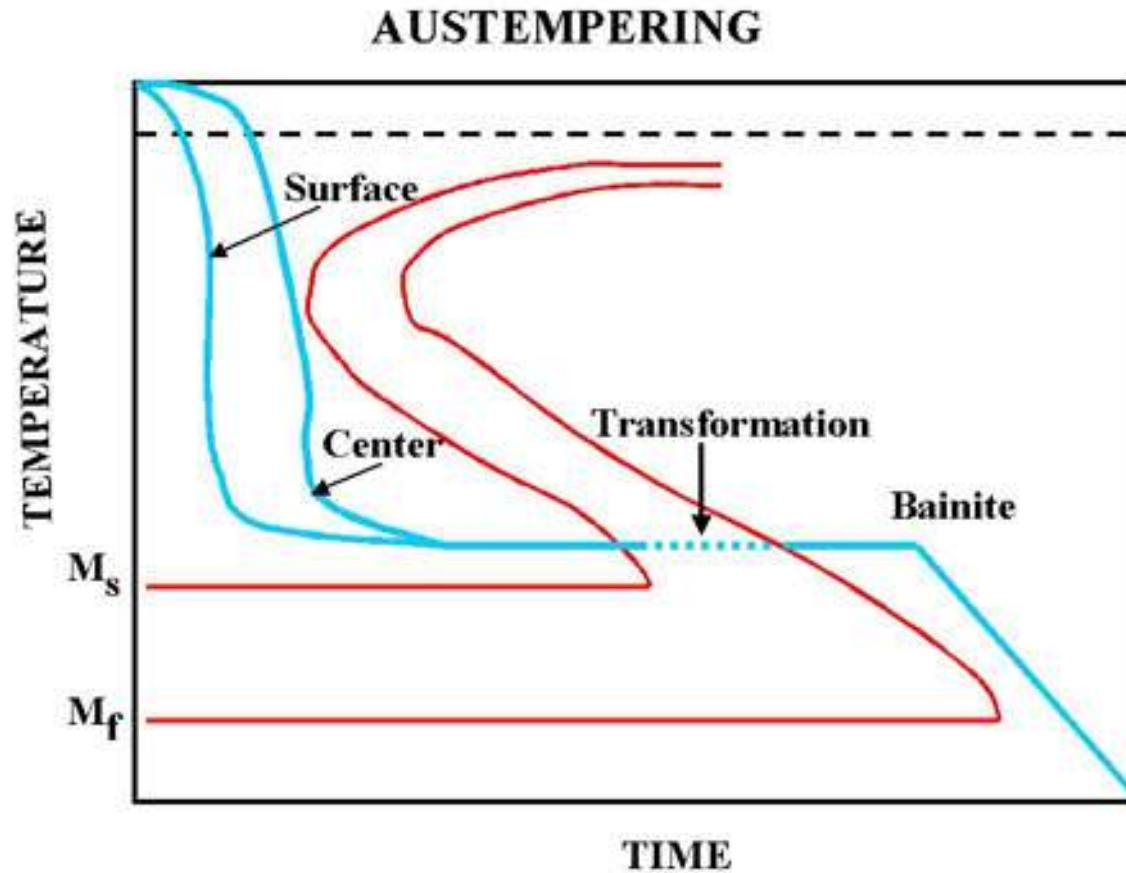
- **A specially designed quenching technique.**
- **Quenched around 315 °C (above  $M_s$ ).**
- **Held at this temperature for sufficient time to**
  - **Homogenize surface & core temperature.**
  - **Undergo isothermal transformation from Austenite to Bainite.**



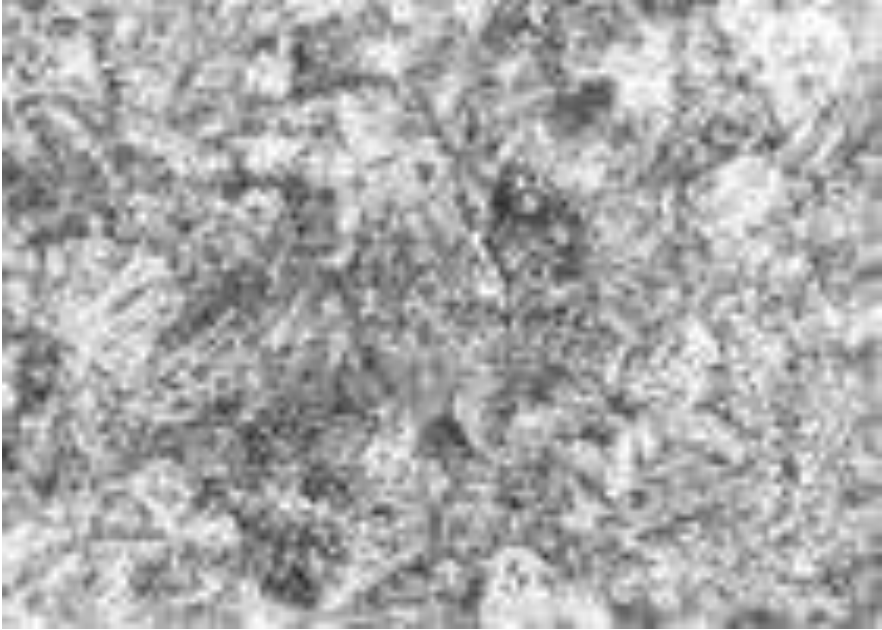
# Austempering

- **Bainite has same composition as Pearlite with**
- **much finely spaced structure (inter lamellar spacing)**
- **is tough as well as hard**
- **Suitable for direct use in many application**

# Austempering Contd..



**Austempering process.**



Bainite in prior Austenite matrix



Bainite at high magnification

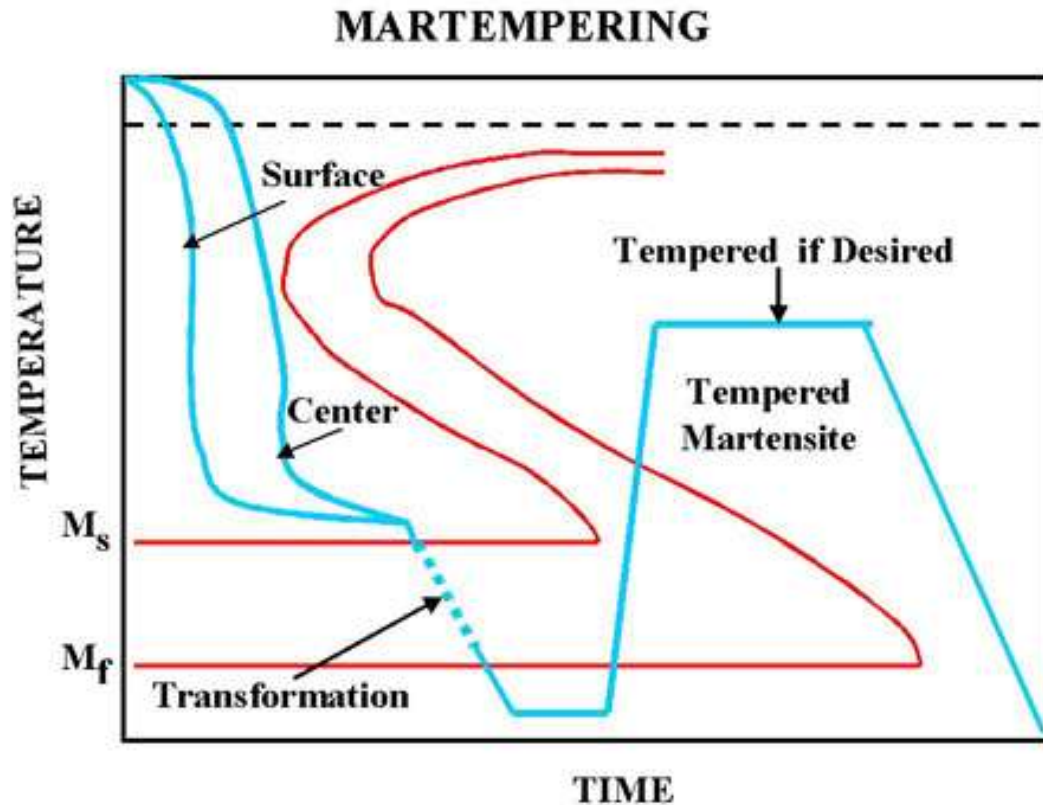
# Martempering

- Also a specially designed quenching technique.
- Quenched around 315 °C (above  $M_s$ ).
- Held at this temperature for sufficient time to
  - Homogenize surface & core temperature.
- Further quenched to  $M_s$  through  $M_f$
- The structure is martensite

# Martempering

- Tempered to get desired combination of Hardness & Toughness
- Advantage over rapid quenching
  - More dimensional stability
  - Less Warping
  - Less chance of quench crack
  - Less residual stress

# Martempering Contd..



**Martempering process.**

# Case Hardening

- Objective is to harden the surface & subsurface selectively to obtain:
  - Hard and wear-resistant surface
  - Tough impact resistant core
  - The best of both worlds
- Case hardening can be done to all types of plain carbon steels and alloy steels

# Case Hardening Contd..

- Selectivity is achieved
  - a) For low carbon steels
    - By infusing carbon, boron or nitrogen in the steel by heating in appropriate medium
    - Being Diffusion controlled process, Infusion is selective to surface and subsurface
  - b) For medium & High carbon or Alloy steel
    - By heating the surface selectively followed by Quenching



# Case Carburizing

- **Heating of low carbon steel in carburizing medium like charcoal**
- **Carbon atoms diffuse in job surface**
- **Typical depth of carburisation; 0.5 to 5mm**
- **Typical Temperature is about 950°C**
- **Quenching to achieve martensite on surface and sub-surface**
- **If needed, tempering to refine grain size and reduce stresses**

# Case Nitriding

- Heating of steel containing Al in nitrogen medium like Nitride salt, Ammonia etc.
- Typical temperature is about 530<sup>0</sup>C
- Nitrogen atoms diffuse in job surface
- Forms AlN, a very hard & wear resistant compound on surface & sub-surface
- Typical use is to harden tubes with small wall thickness like rifle barrel etc.

# Case Carbo-nitriding

- **Heating of low carbon steel containing Al in cyanide medium like cyanide salt followed by Quenching**
- **Typical temperature is about 850<sup>0</sup>C**
- **Nitrogen & Carbon atoms diffuse in job**
- **Typical case depth 0.07mm to 0.5mm**
- **Forms very hard & wear resistant complex compounds, on surface & sub-surface**
- **If needed, tempering to refine grain size and reduce stresses**

# Induction and Flame Hardening

- Employed for medium & high carbon steel or alloy steels
- Local heating of the surface only either by flame or induction current
- Heating to austenizing range, 30 – 50°C above  $Ac_3$  (Hypoeutectoid) or  $Ac_1$  (Hypereutectoid)
- Quenching in suitable quenching media
- If needed, tempering to refine grain size and reduce stresses

# Precipitation Hardening

- Also known as **Dispersion** or **Age** hardening
- Applicable to common non-ferrous metals and alloys and some spl. Steels
- Technique used for strengthening
  - Al (**Mg, Cu**), Mg, Ti (**Al, V**) alloys
  - Some variety of SS, Maraging Steel etc.

# Precipitation Hardening

- Hardening in steel is mainly due to martensite formation during quenching
- common non-ferrous metals normally don't respond to quenching
- A method where finely dispersed second phase precipitates in the primary matrix
- These precipitations lock the movement of dislocation causing increase in hardness

# Precipitation Hardening

- Exploits phenomenon of super- saturation.
- Nucleation at a relatively high temperature (often just below the solubility limit)
  - Maximise number of precipitate particles.
- Lower the temperature and hold
  - These particles grow in size
  - The process called *aging*.
- Typical dislocation size is 5-30 nm

# Precipitation Hardening

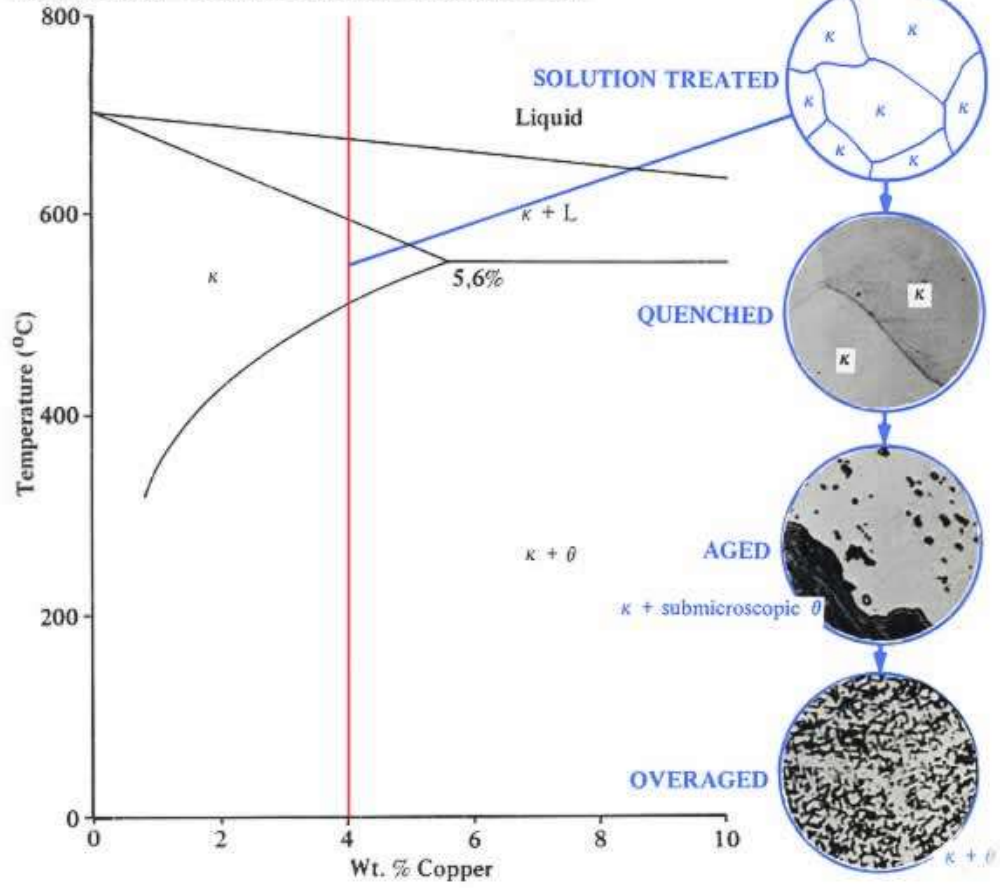
- Diffusion's exponential dependence upon temperature makes precipitation strengthening a fairly delicate process.
- Too little diffusion (*under aging*)
  - The particles will be too small to impede dislocations effectively
- Too much diffusion (*over aging*)
  - Particle will be too large and dispersed to interact with the majority of dislocations



# Softening Heat Treatment

- **Softening Heat Treatment done to:**
  - **Reduce *strength or hardness***
  - **Remove *residual stresses***
  - **Restore *ductility***
  - **Improve *toughness***
  - **Refine *grain size***
- **necessary when a large amount of cold working, such as cold-rolling or wire drawing been performed**

# ALUMINIUM – COPPER EQUILIBRIUM DIAGRAM



## Precipitation Hardening

# Softening Heat Treatment

- Incomplete Annealing
  - Stress Relieving
  - Process Annealing
  - Spherodising
- Full Annealing
- Normalizing

# Stress Relieving

- To *reduce residual stresses* in large **castings**, **welded** and **cold-formed parts**.
- Such parts tend to have **stresses** due to **thermal cycling** or **work hardening**.
- **Parts are**
  - heated to 600 - 650°C (1112 - 1202°F)
  - held for about 1 hour or more
  - then slowly cooled in still air.

# Process Annealing

- **used to treat work-hardened parts made out of low-Carbon steels (< 0.25% Carbon).**
- **In process heat treatment**
- **allows the parts to be soft enough to undergo further cold working without fracturing.**

# Process Annealing

- Temperature raised near the lower critical temperature line  $A_1$  i. e.  $650^{\circ}\text{C}$  to  $700^{\circ}\text{C}$
- Holding for sufficient time, followed by still air cooling
- **Initially, the strained lattices reorient to reduce internal stresses (recovery)**
- **When held long enough, new crystals grow (recrystallisation)**

# Process Annealing

- Material stays in the same phase through out the process
  - Only change in size, shape and distribution of the grain structure
- This process is cheaper than either full annealing or normalizing
  - As material is not heated to a very high temperature or cooled in a furnace.

# Spheroidization

- used for high carbon steels (Carbon > 0.6%) that will be machined or cold formed subsequently.
- Be done by one of the following ways:
  - Heat just below the line  $A_1$  (727 °C)
  - Hold for a prolonged time
  - Followed by fairly slow cooling.

Or



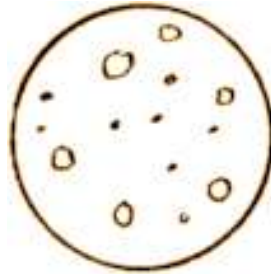
# Spheroidization Contd..

- Cycle multiple times between
  - temperatures slightly above and below the  $A_1$  say 700 and 750°C
  - Slow cool.

Or

- For tool and alloy steels
  - heat to 750 to 800°C
  - hold for several hours
  - followed by slow cooling.

# Spheroidization Contd..



SPHEROIDITE

- Results formation of small globular cementite (spheroids)
- Dispersed throughout the ferrite matrix.
- Improved machinability
- Improved resistance to abrasion.

# Full Annealing

- An act of
  - Heating to austenizing range, 30 – 50<sup>0</sup>C above  $Ac_3$  (Hypoeutectoid) or  $Ac_1$  (Hypereutectoid)
  - Holding sufficiently long time for full transformation (1hr/per inch of maxm. Thickness)
  - Cooling slowly upto 500<sup>0</sup>C
  - Normal cooling to room temperature

# Full Annealing

- Cooling rate varies from 30°C/hr to 200°C/hr depending on composition
- Enable the austenite to decompose fully
- Higher the austenite stability, slower the cooling to ensure full decomposition.
- Thus, **alloy steels**, in which austenite is very stable should be cooled much slower than **carbon steel**.
- The microstructure is **coarse Pearlite with ferrite or Cementite** (depending on whether hypo or hyper eutectoid).

# Full Annealing

- full annealing hyper eutectoid steel is required only for restoring grain size
- when hot working (rolling or forging) finished at high temperature resulted in coarse grained structure.
- For hot working finished at a normal temperature, incomplete annealing OK
- Hypoeutectoid hot worked steel (rolled stock, sheet, forgings, etc), castings of carbon & alloy steels, may undergo full annealing.

# Normalizing

- Raising the temperature to  $60^{\circ}\text{C}$  ( $140^{\circ}\text{F}$ ) above line  $A_3$  (hypo) or line  $A_{CM}$  hyper)
- fully into the Austenite range.
- Held at this temperature to fully convert the structure into Austenite
- Removed from the furnace
- Cooled at room temperature under natural convection.
- Results a grain structure of fine Pearlite with pro-eutectoid Ferrite or Cementite.

# Normalizing Vs Annealing

- Normalising considerably cheaper than full annealing
- no added cost of controlled cooling.
- Fully annealed parts are uniform in softness (and machinability)
- Normalized parts, depending on the part geometry, exhibit non-uniform material properties
- Annealing always produces a softer material than normalizing.

# Hardenability

- **Ability of a metal to respond to hardening treatment**
- **For steel, the treatment is Quenching to form Martensite**
- **Two factors which decides hardenability**
  - **TTT Diagram specific to the composition**
  - **Heat extraction or cooling rate**



# Hardenability Contd..

## TTT Diagram

- For low carbon steel, the nose is quite close to temperature axis
- Hence very fast cooling rate is required to form Martensite
  - Causes much warp, distortion and stress
  - Often impossible for thick sections
- Carbon and Alloy addition shifts the nose to right and often changes the shape

# Hardenability Contd..

Factors affecting cooling rate

- Heating Temperature
- Quenching bath temperature
- Specific heat of quenching medium
- Job thickness
- Stirring of bath to effect heat convection
- Continuous or batch process

# Hardenability Contd..

- Hardenability is quantified as the depth upto which full hardness can be achieved
- Amount of carbon affects both hardness of martensite and hardenability
- Type and amount of alloying elements affect mostly hardenability
- The significance of alloying element is in lowering cooling rate for lesser distortion and thick section

# Property Modification Treatment

- **These heat treatments are aimed either to**
- **achieve a specific property**
- **to get rid of a undesired property**

## **Example**

**– Solution heat treatment**

# Solution Heat Treatment

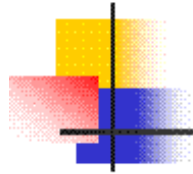
- Refers to taking all the secondary phases into solution by heating and holding at a specific temperature
- Except martensite, all other phases in steel are **diffusion** product
- They appear or disappear in the primary matrix by diffusion controlled process
- Diffusion is **Time & Temperature** dependant

# Solution Heat Treatment

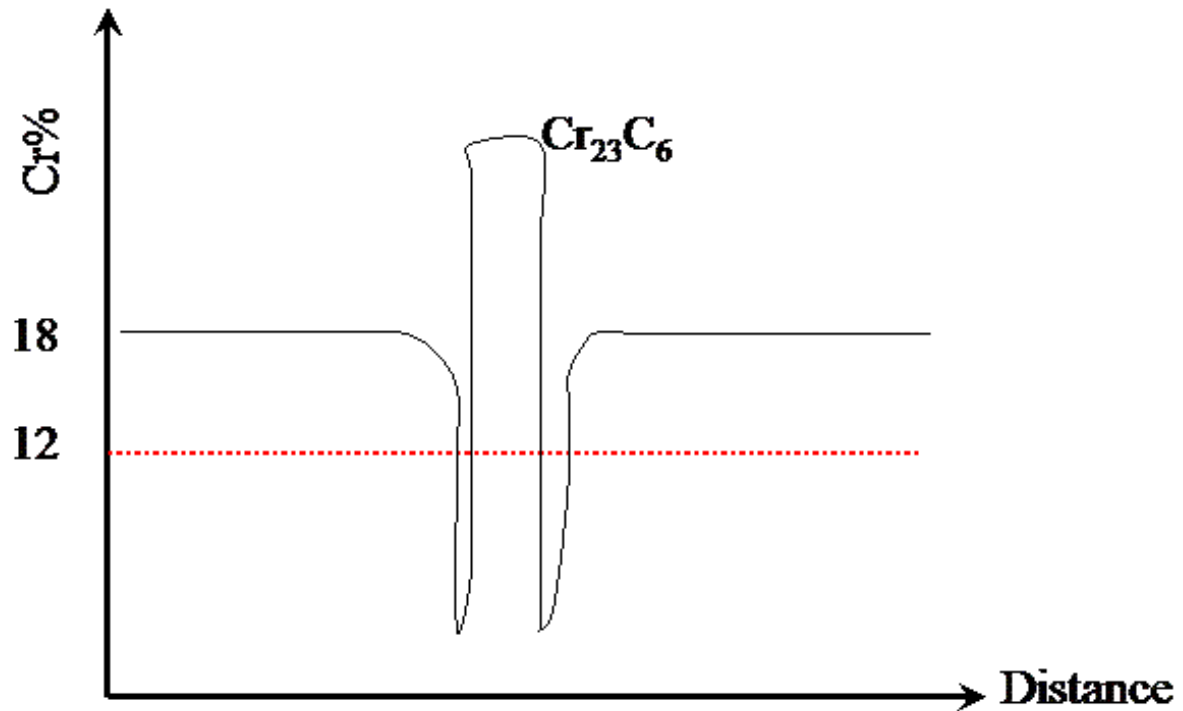
- In SS, when held at temperature range of  $500^{\circ}\text{C} - 800^{\circ}\text{C}$ , Cr combines with Carbon at GB to form complex inter-metallic compounds
- This depletes the GB of Cr resulting in loss of corrosion resistance at GB
- Become susceptible to Inter Granular corrosion.



Sensitized Stainless Steel



# Chromium Profile Across Grain





# Solution Heat Treatment

- This situation may occur due to high service temperature or welding
- Remedy is
- Heat the job at 1050<sup>0</sup>C
- Hold till all the carbide re-dissolves in matrix
- Fast cool to RT avoiding re-precipitation



THANK YOU